



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/521,539	01/14/2005	Shusaku Shibasaki	OT-5055	1524

7590 08/22/2007

Troxell K. Snyder
Otis Elevator Company
10 Farm Springs
Farmington, CT 06032

EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED: 08/22/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notification of Non-Compliant Appeal Brief
(37 CFR 41.37)**

Application No.

10/521,539

Applicant(s)

SHIBASAKI, SHUSAKU

Examiner

Eric Pico

Art Unit

3654

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--


The Appeal Brief filed on 14 June 2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.

EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☒ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☒ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☐ Other (including any explanation in support of the above items):

See Continuation Sheet.


Peter M. Cuomo
Supervisory Patent Examiner
Technology Center 3600

Continuation of 10. Other (including any explanation in support of the above items): Applicant's brief does not present an argument under a separate heading for each ground of rejection on appeal. Applicant's heading "The Rejections of Claim 1-10 Under 35 U.S.C. 103(a)" is not the ground of rejection. The grounds of rejection are properly stated in Applicant's brief Page 4 under Grounds of Rejection to be Reviewed on Appeal, which state:

1. Whether claims 1-3, 5, 6, and 8-10 are unpatentable under 35 U.S.C. § 103(a) as being obvious based upon U.S. Patent No. 568,345 ("Gilpin") in view of U.S. Patent No. 380,651 ("Fowler").
2. Whether claim 4 is unpatentable under 35 U.S.C. § 103(a) as being obvious over Gilpin in view of Fowler and U.S. Patent No. 190,291 ("Davis").
3. Whether claim 7 is unpatentable under 35 U.S.C. § 103(a) as being obvious over Gilpin in view of Fowler and U.S. Patent No. 3,768,596 ("Solymos").
4. Whether the drawings are objectionable as failing to show features of claims 4 and 8-10.
5. Whether claim 4 is unsupported by the specification under 35 U.S.C. § 112, Paragraph 1.
6. Whether claims 8-10 are indefinite under 35 U.S.C. § 112, Paragraph 2.

Each of these grounds of rejection on appeal must be presented under a separate heading. Further examples are discussed in MPEP 1205.02 (vii).